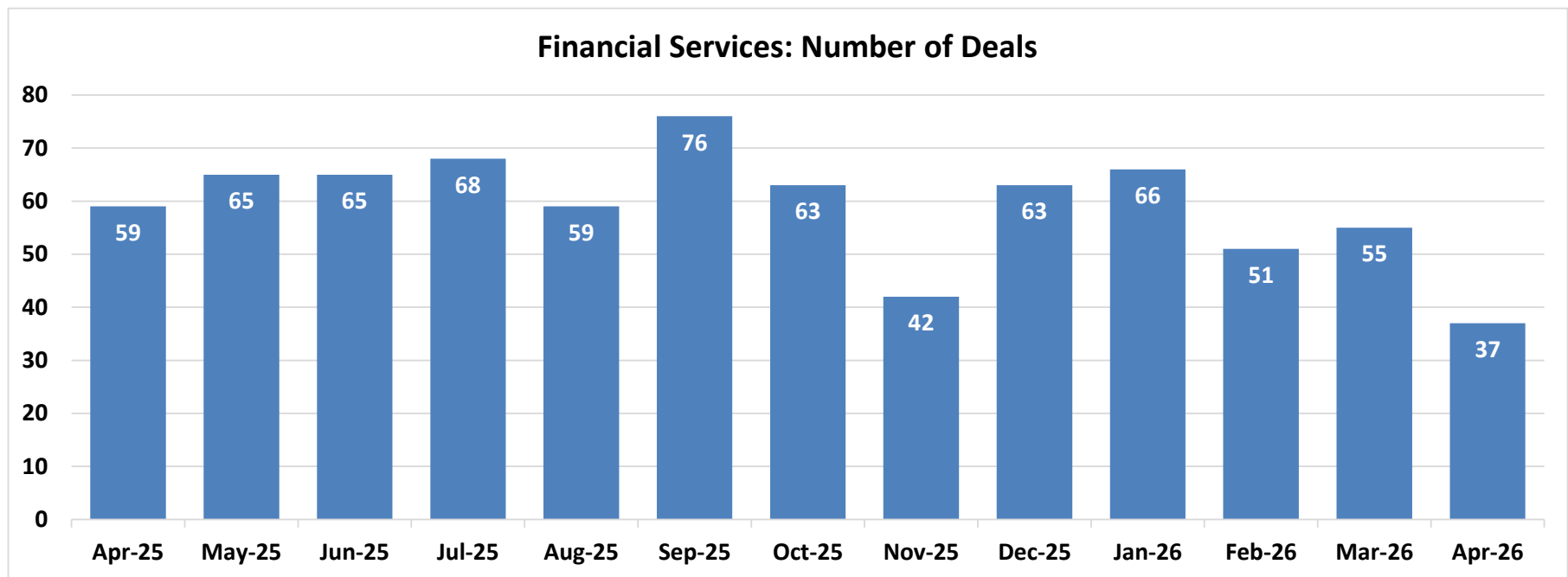


Financial Services Deals for April 2026

There were 37 completed U.S. private equity deals in the Financial Services sector during the month of April. The number of deal transactions were down approximately 33% from March. There was a 37% decrease in the number of Financial Services deals compared to April 2025.



Financial Services Deals for April 2026

Company Name	Description	Deal Synopsis
Mena Insurance Agency	Operator of an insurance agency based in Mena, Arkansas. The company offers insurance for personal lines, commercial lines, life, health and employee benefits.	The company was acquired by Inszone Insurance Services, via its financial sponsors Ares Capital Corporation BDC, BHMS Investments, Lightyear Capital and Ares Management, through an LBO on April 30, 2026 for an undisclosed amount.
Stonki	Developer of an AI-powered trading co-pilot designed for traders and investors. The company's platform scans markets, builds trade plans, and watches users' setups, helping new traders to learn what to scan for and why, and experienced traders reclaim time and reduce mental clutter.	The company was acquired by Investing.com, via its financial sponsors Joffre Capital and Cameleon Invest, through an LBO on April 30, 2026 for an undisclosed amount.
Arnold & Smith Insurance Agency	Provider of insurance services intended for coverage and risk management facilities. The company offers personal, business, life and health insurance options, including auto, home, RV, motorcycle, commercial property, fleet and cyber insurance, thereby providing personal lines coverage for manufactured homes and waterfront mansions, as well as a dominant commercial presence in the construction sector.	The company was acquired by Inszone Insurance Services, via its financial sponsors Ares Capital Corporation BDC, BHMS Investments, Lightyear Capital and Ares Management, through an LBO on April 28, 2026 for an undisclosed amount. This strategic acquisition expands Inszone Insurance Services' footprint into the Pacific Northwest, bringing two key locations in Belfair and Shelton, Washington into the organization.
Harbor Wealth	Provider of financial advisory services intended to help families achieve financial freedom. The company offers a five-step process, tax return reviews, risk assessment surveys, retirement readiness evaluations, and investment analysis, providing individuals and families with financial plans, investment portfolios, retirement strategies, tax reviews, estate plans, and private client services, intended to assist clients in aligning their financial resources with their personal vision of long-term goals.	The company was acquired by Carson Group through an LBO on April 28, 2026 for an undisclosed amount.
TC Financial	Provider of insurance advisory services intended for retirement and life coverage. The company offers ancillary products, life insurance, annuities, and Medicare coverage, providing retirees and individuals with insurance policies, retirement plans, supplemental health coverage, and financial protection, intended to assist clients in securing personal and professional financial stability.	The company was acquired by Integrity, via its financial sponsors Carlyle Secured Lending BDC, Crescent Capital BDC, Crescent Capital Group, GIC Private, Harvest Partners, HGGC, Silver Lake, T Series Middle Market Loan Fund BDC and The Carlyle Gro, through an LBO on April 28, 2026 for an undisclosed amount.

Financial Services Deals for April 2026

Company Name	Description	Deal Synopsis
Titan Risk Solutions	Provider of commercial insurance and business services intended for the trucking and transportation industry. The company offers specialized truck insurance, safety compliance management, driver training programs, invoice factoring, freight brokerage, and regulatory tax preparation, enabling fleet operators and independent drivers to centralize their risk management and operational requirements through a single platform.	The company was acquired by Keystone Agency Partners, via its financial sponsors Warburg Pincus and Bain Capital Credit, through an LBO on April 28, 2026 for an undisclosed amount.
Complex Coverage	Provider of insurance services intended to capitalize on opportunities within the personal lines marketplace. The company offers homeowners insurance, dwelling fire insurance, builder's risk insurance, boat insurance, commercial package commercial and flood insurance, enabling clients to protect personal and business assets through a network of financially stable carriers.	The company was acquired by Newport Specialty Partners, via its financial sponsor Lovell Minnick Partners, through an LBO on April 25, 2026 for an undisclosed amount.
TruWealth Advisors	Provider of financial planning services intended to assist clients in obtaining long-term wealth goals. The company offers fiduciary investment management, discretionary portfolio administration, retirement planning, estate strategy, and pension consulting, enabling individuals and small businesses to receive unbiased financial guidance and personalized asset management.	The company was acquired by Waverly Advisors, via its financial sponsors Ares Capital Corporation BDC, HGGC and Ares Management, through an LBO on April 24, 2026 for an undisclosed amount.
Gateway Capital Advisors	Provider of wealth management services intended to help clients improve long-term financial success. The company utilizes a macro-economic process and covered asset approach, enabling individuals and business owners to balance growth potential with risk reduction through personalized financial road maps.	The company was acquired by GCG Wealth Management, via its financial sponsors BharCap Partners and LNC Partners, through an LBO on April 23, 2026 for an undisclosed amount.
James R. Vozar Insurance Agency	Provider of insurance solutions intended for personal and commercial coverage. The company offers agricultural risk management, multi-peril crop insurance, farm equipment breakdown coverage, commercial umbrella policies, and livestock liability endorsements, enabling clients to protect their assets and businesses through customized insurance solutions.	The company was acquired by Inszone Insurance Services, via its financial sponsors Ares Capital Corporation BDC, BHMS Investments, Ares Management and Lightyear Capital, through an LBO on Apr 23, 2026 for an undisclosed amount. This acquisition further strengthens Inszone's presence in the Midwest and adds deep specialized knowledge in the agricultural sector to its portfolio.

Financial Services Deals for April 2026

Company Name	Description	Deal Synopsis
Brown and Company	Provider of financial advisory services intended for wealth and estate planning. The company offers investment planning, retirement planning, tax and estate planning, and charitable planning, enabling individuals and families to manage assets and plan finances.	The company, a subsidiary of LPL Financial Holdings, was acquired by Modern Wealth Management, via its financial sponsor Crestview Partners, through an LBO on April 22, 2026 for an undisclosed amount.
Diamond Hill Capital Management	Diamond Hill Investment Group Inc derives its consolidated revenues and net income from investment advisory and fund administration services provided by its subsidiary. It is a registered investment adviser. The company is the investment adviser and administrator for the Proprietary Funds. It also provides investment advisory services to DHMF, SMAs, CITs, other pooled vehicles including sub-advised funds, and model delivery programs.	The company was acquired by First Eagle Investments, via its financial sponsor Genstar Capital, through an estimated \$479.5 million public to private LBO on April 22, 2026.
Fiduciary Trust Company	Provider of private wealth and trust services intended to support long-term financial well-being and legacy planning. The company offers integrated wealth planning, tax-aware investment management, and access to New Hampshire trust advantages, enabling individuals, families, and nonprofits to personalize strategies and achieve multigenerational financial security.	The company was acquired by GTCR, Mr. Austin V. Shapard, and its management through an LBO on November 19, 2025, for an undisclosed amount.
Park Model-Manufactured Home Insurance Services	Provider of insurance brokerage services intended to offer coverage for manufactured and park model homes. The company provides manufactured home insurance, park model insurance, mobile home insurance, homeowners insurance, property protection, and liability coverage, enabling homeowners to secure specialized insurance policies for non-standard housing structures across multiple states.	The company was acquired by Inszone Insurance Services, via its financial sponsors Ares Capital Corporation BDC, BHMS Investments, Ares Management and Lightyear Capital, through an LBO on April 21, 2026 for an undisclosed amount. This strategic acquisition brings a highly specialized team into the Inszone Insurance Services organization and marks the launch of a new, dedicated mobile home division.
Randall Realty & Insurance	Provider of real estate brokerage and insurance services serving residential property buyers and sellers. The company and the organization maintain an in-house team of experienced sales staff with detailed knowledge of local housing inventory and insurance offerings, enabling consistent transaction support and informed property decisions.	The company was acquired by Inszone Insurance Services, via its financial sponsors Ares Capital Corporation BDC, Ares Management, BHMS Investments and Lightyear Capital, through an LBO on April 16, 2026 for an undisclosed amount.

Financial Services Deals for April 2026

Company Name	Description	Deal Synopsis
Fullcircle Wealth	Provider of wealth management and financial planning services for individuals and businesses. The company provides personalized financial planning, investment management, tax planning, retirement planning and advisory services, enabling clients to manage their wealth and achieve long-term financial goals.	The company was acquired by Wealth Enhancement Group, via its financial sponsors Primark Capital, TA Associates Management and Onex, through an LBO on April 15, 2026 for an undisclosed amount.
Gunderson Capital Management	Provider of investment management and financial advisory services intended for individual investors. The company provides portfolio management, financial planning, and investment advisory services, enabling clients to manage their wealth through actively managed investment strategies.	The company was acquired by GCG Wealth Management, via its financial sponsors BharCap Partners and LNC Partners, through an LBO on April 15, 2026 for an undisclosed amount.
Morin Associates	Provider of insurance and financial planning services intended for individuals and businesses. The company offers life and health insurance, employee benefits, Medicare and Social Security planning, wealth management, and retirement planning, enabling clients to take precautions with cost-effective coverages.	The company was acquired by King Risk Partners, via its financial sponsors Lightyear Capital and BHMS Investments, through an LBO on April 15, 2026 for an undisclosed amount.
CPS Horizon Financial	Provider of insurance products and services for individuals and businesses. The company offers life insurance, annuities, long-term care, and disability insurance through a commission-based, personalized approach, thereby supporting insurance professionals.	The company was acquired by Simplicity Financial Marketing Holdings, via its financial sponsors Dragoneer Investment Group and SkyKnight Capital, through an LBO on April 14, 2026 for an undisclosed amount.
Insurance Resources Group	Provider of a general insurance agency for commercial, personal, and individual clients. The company offers commercial insurance, personal insurance, risk management services, employee benefit schemes, health insurance, auto insurance, home insurance, life insurance, business insurance, and financial protection, enabling clients to be covered from any uncertain risks.	The company was acquired by Inszone Insurance Services, via its financial sponsors Ares Capital Corporation BDC, BHMS Investments, Lightyear Capital, and Ares Management, through an LBO on April 14, 2026 for an undisclosed amount.

Financial Services Deals for April 2026

Company Name	Description	Deal Synopsis
ATS Wealth Management	Provider of wealth management and financial advisory services intended to support asset growth, retirement planning, and long-term financial decision-making for individuals and families. The company specializes in asset allocation strategies, investment management, estate structuring, retirement planning, and financial consulting, enabling individuals and families to manage financial portfolios and plan wealth transfer across generations.	The company was acquired by Simplicity Financial Marketing Holdings, via its financial sponsors SkyKnight Capital, Dragoneer Investment Group and PennantPark, through an LBO on April 13, 2026 for an undisclosed amount.
IPA Financial Services	Provider of financial advisory and planning services intended to help individuals manage and grow their finances. The company offers investment guidance, insurance planning, retirement strategies, and estate planning support, enabling individuals and families to make informed financial decisions and achieve long-term financial stability.	The company was acquired by Simplicity Financial Marketing Holdings, via its financial sponsors SkyKnight Capital, Dragoneer Investment Group, and PennantPark, through an LBO on April 8, 2026 for an undisclosed amount.
Axia Advisory	Provider of investment advisory and retirement plan consulting services intended to serve institutions, plan sponsors and their committees. The company offers an independent advisory team to guide clients through fiduciary processes, including strategic planning, investment selection and ongoing performance review, thereby supporting governance and oversight requirements.	The company was acquired by Wealthspire Advisors, via its financial sponsor Madison Dearborn Partners, through an LBO on April 7, 2026 for an undisclosed amount.
Gdm Private Financial Solutions	Provider of accounting, tax, and financial advisory services designed to manage finances and support business growth. The company offers bookkeeping, tax planning and preparation, payroll management, and business consulting services, enabling individuals and small businesses to maintain financial clarity and meet regulatory obligations with informed decision-making.	The company was acquired by Soltis Investment Advisors, via its financial sponsors LLR Partners, Emigrant Partners, and Estancia Capital Management, through an LBO on April 7, 2026, for an undisclosed amount.
Maroo	Developer of payment management software intended to serve event-focused businesses and creative professionals. The company's software specializes in online invoicing, multi-method payments, structured payment plans, domestic and cross-border transactions, automated fee calculation, and customer support tools, thereby enabling clients to streamline billing, reduce administrative workload, and manage payment collection efficiently.	The company was acquired by Helium Ventures through an LBO on April 7, 2026 for an undisclosed amount.

Financial Services Deals for April 2026

Company Name	Description	Deal Synopsis
New England Property & Casualty	Provider of risk management and financial protection services intended for individuals and businesses seeking coverage against property and liability losses. The company offers personalized insurance policies, claims support, and risk assessment services, enabling clients to safeguard assets and ensure financial security in a comprehensive and reliable manner.	The company was acquired by King Risk Partners, via its financial sponsors BHMS Investments and Lightyear Capital, through an LBo on April 7, 2026 for an undisclosed amount.
Personal Financial Solutions (Manasquan)	Provider of financial planning and advisory services intended for personal financial management. The company offers investment management, retirement planning, estate planning, insurance and annuity products, and succession planning services, enabling individuals and business owners to manage finances and plan for future needs.	The company was acquired by Mercer Global Advisors, via its financial sponsor Genstar Capital, Harvest Partners, NB Capital Solutions, Altas Partners, and Oak Hill Capital, through an LBO on April 7, 2026 for an undisclosed amount.
Biscayne Insurance Group	Provider of insurance brokerage services intended to provide personalized coverage and risk management. The company's technology utilizes a four-step risk assessment process to identify specific risk factors, thereby enabling individual and business clients to obtain tailored insurance.	The company was acquired by Bay Road Insurance Partners, via its financial sponsor The Brydon Group, through an LBO on April 3, 2026, for an undisclosed amount.
Hecht-Stout Insurance Agency	Provider of independent insurance brokerage services serving individuals, families and businesses in Dunlap and the surrounding areas. The company provides personal and commercial risk placement coverage across a broad range of insurance carriers and offers ongoing advisory support, helping clients protect assets and plan for both predictable and unexpected events.	The company was acquired by Trucordia, via its financial sponsors The Carlyle Group, Blue Owl Capital, Crescent Capital Group, HGGC, and Blue Owl Capital BDC, through an LBO on April 2, 2026 for an undisclosed amount.
Jupiter Underwriting Group	Provider of insurance underwriting services intended to offer tailored insurance solutions in the United States. The company specializes in serving various sectors, including construction, small businesses, restaurants, hotels, and trucking, thereby delivering comprehensive coverage options that meet the unique needs of each industry.	The company was acquired by DOXA Insurance Holdings, via its financial sponsors Ares Management and Goldman Sachs Asset Management, through an LBO on April 2, 2026 for an undisclosed amount.

Financial Services Deals for April 2026

Company Name	Description	Deal Synopsis
Aloha Insurance Services	Operator of an insurance brokerage firm serving families and businesses. The company offers homeowners, personal auto, commercial general liability, workers' compensation, umbrella, hurricane, and flood insurance, providing individuals and commercial entities with access to multiple carriers and localized risk expertise, enabling clients to secure tailored insurance plans to mitigate financial risks.	The company was acquired by Alkeme Insurance, via its financial sponsor Solamere Capital, through an LBO on April 1, 2026 for an undisclosed amount.
Financial Connections Group	Provider of financial advisory services intended to reduce the complexities of planning and investing. The company offers retirement planning, investment management, and financial planning services.	The company was acquired by Apella Wealth, via its financial sponsor HGGC and Wealth Partners Capital Group, through an LBO on April 1, 2026 for an undisclosed amount.
Identity Group	Manufacturer of branding and advertising products intended to enhance the brand presence. The company mainly specializes in developing interior and architectural signage, ink stamps, marking devices, business stationery, specialty inks, dynamic digital signage and office products that identify brands, spaces, and individuals, enabling clients to receive multi-color logos and backgrounds along with store signage items as per their requirements.	The company was acquired by Sodales Partners through an LBO in approximately April 2026 for an undisclosed amount.
Ocean Solutions (Brokerage)	Provider of commodity brokerage and trading services intended to facilitate transactions in physical and financially settled commodities for institutional and commercial clients. The company specializes in brokerage of petroleum products, metals, forward freight derivatives, and commodity-related financial instruments, leveraging industry expertise, supply chain relationships, and logistics coordination capabilities, enabling institutional and commercial clients to execute commodity transactions, manage pricing exposure, and access structured trading opportunities.	The company was acquired by Edgewood Holdings through an LBO in approximately April 2026 for an undisclosed amount.

Financial Services Deals for April 2026

Company Name	Description	Deal Synopsis
Regency Investment Advisors	Provider of investment advisory services intended to simplify complex financial management and secure client futures. The company offers investment management, retirement planning, financial sorting, trust management, business advisory, and nonprofit asset guidance, providing individuals and institutional clients with a top-down money management approach to achieve long-term financial security.	The company was acquired by Plancorp, via its financial sponsors Cynosure Partners and TRIA Capital Partners, through an LBO on April 1, 2026 for an undisclosed amount.
Safe Harbour Insurance Management	Provider of personal lines insurance intended to serve homeowners and individual policyholders through partnerships with mortgage brokers. The company offers auto home, condo, boat, business workers, compensation and flood coverage with customized policies, thereby enabling clients with appropriate protection aligned with their property and lending requirements.	The company was acquired by Alkeme Insurance, via its financial sponsor Solamere Capital, through an LBO on April 1, 2026 for an undisclosed amount.
Vertical Raise	Developer of an online donation and fundraising platform designed for clubs, non-profits, and sports teams of all demographics. The company's platform offers a fundraising director, tracks the progress of the campaigns, and leverages email, text messaging, and social media campaigns to increase the reach of client fundraisers.	The company was acquired by Arbiter, via its financial sponsor Accel-KKR, through an LBO on April 1, 2026 for an undisclosed amount. The acquisition strengthens Arbiter's commitment to supporting schools, families, and communities by integrating high-performance, technology-enabled fundraising into its growing suite of solutions, which already includes registration, payments, scheduling, facilities, and website management.