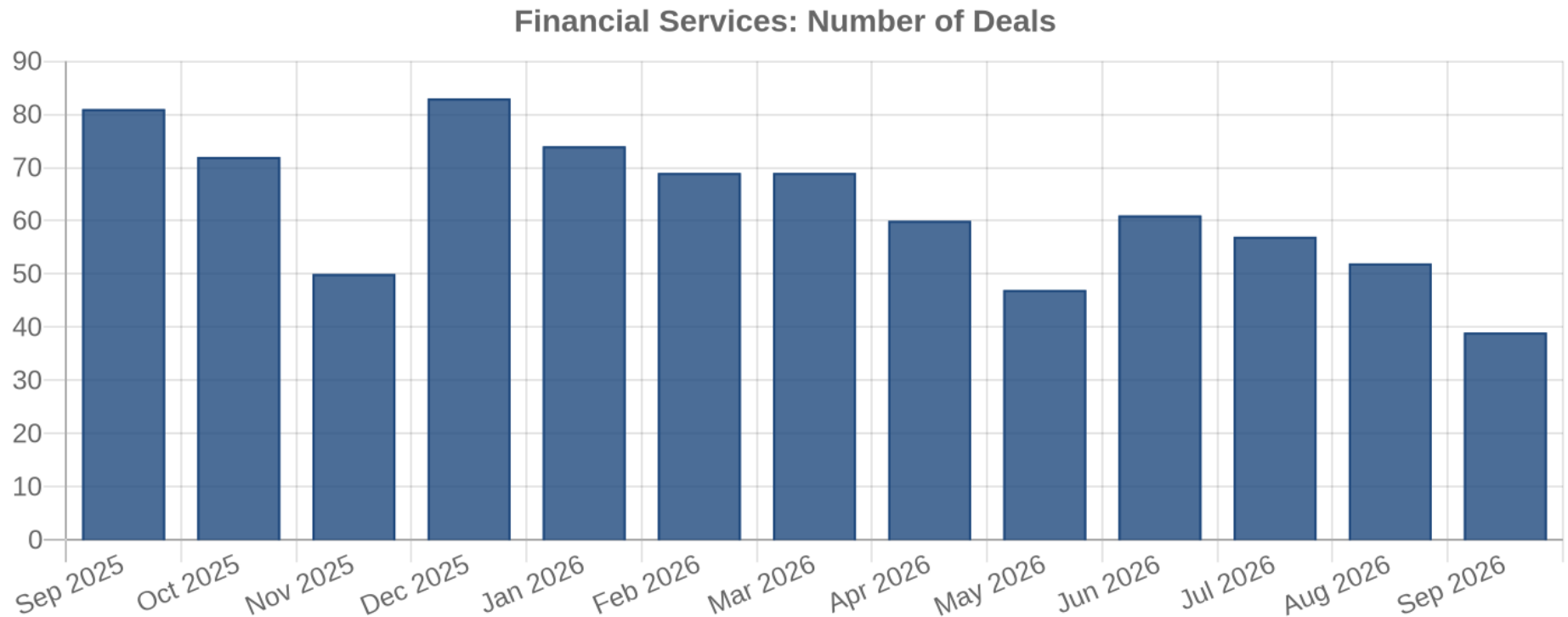


Financial Services Deals for September 2026

There were 39 completed U.S. private equity deals in the Financial Services sector during the month of September. The number of deal transactions decreased 25.0% when compared to August's deal count. There was a 51.9% decline in the number of Financial Services deals compared to September 2025.



Financial Services Deals for September 2026

Company Name	Description	Deal Synopsis
American Insurance agency (Insurance Brokers)	Provider of insurance brokerage services intended to offer tailored coverage for individuals and businesses. The company offers commercial and personal insurance policies, risk management advisory, claims assistance and employee benefit programs, enabling clients to secure specialized coverage and optimize their financial outcomes.	
Arctic Risk Specialists	Provider of insurance brokerage services intended to protect business assets and minimize liability. The company offers commercial insurance, contractor insurance, snow management insurance, ice management insurance, risk management services, and business protection plans, providing commercial clients with specialized coverage to protect business assets.	
AWA Wealth Management	Provider of wealth management and financial planning services intended for families and business owners. The company offers financial plans, investment portfolios, estate documents, tax returns, retirement accounts, insurance policies, trust planning, succession plans, wealth management strategies, and legacy plans to help clients protect and transfer wealth.	
Bast Financial Group	Provider of wealth management and financial planning services intended for business owners and executives. The company offers investment advisory, multigenerational wealth transfer, and estate planning, providing fiduciary advice and personalized financial strategies to help high-net-worth families and business leaders achieve their long-term goals.	
Broadshore Capital Partners	Provider of real estate investment management services based in Los Angeles, California. The company specializes in managing real estate investments, investment strategies and investment advisory services on behalf of public pension funds, insurance companies, international institutions and high-net-worth investors.	

Company Name	Description	Deal Synopsis
Citrini Research	Operator of an asset management and investment research firm intended to capture momentum in thematic equity while utilizing shorter-term derivatives strategies to protect against drawdowns. The company provides investors and traders with real-time insights and novel investment frameworks for significant narratives, trends, and market-moving events, enabling investors to systematically access early-stage thematic opportunities and implement asymmetric portfolio strategies	
Columbian Life Insurance Company of New York	Provider of life insurance protection services designed to make a positive difference in policyholder's lives by enhancing financial security when needed most. The company's product portfolio covers home service, universal life, term, whole life, preneed, final expense and senior plans, enabling policyholders to meet their everyday and long-term needs.	The company, a subsidiary of Constellation Insurance Holdings, was acquired by JAB Holding Company through an LBO of \$100 million on September 8, 2026.
Conover Beyer Associates Insurance	Provider of insurance and risk management services intended to protect businesses and families. The company offers a wide range of products including business, personal, flood and life insurance along with commercial bonding and employee benefits, enabling clients to secure financial protection and mitigate liabilities through customized coverage and expert advisory.	
Corporate Insurance Group (Arlington)	Operator of an independent insurance agency intended to provide commercial and personal coverage solutions. The company offers workers' compensation, commercial auto, general liability, and professional indemnity insurance, enabling artisan contractors and medical transportation providers to mitigate operational risks and protect business assets through tailored multi-carrier policy placement.	
Dayforward	Operator of a life insurance platform intended to bring financial security to families across America. The company's platform offers personalized policies that guarantee continued income to the beneficiaries if the policyholder passes away, enabling individuals to purchase the right insurance for their families online.	
Debticate	Developer of debt capital markets software intended to streamline the loan origination process. The company offers three tailored platforms, to automate and streamline the debt origination, trading, and servicing processes, enabling customers to improve efficiency, reduce costs, and mitigate risks in the debt capital markets.	

Company Name	Description	Deal Synopsis
Dietz, Futrell & Walters Insurance	Provider of insurance brokerage services intended to protect personal and business assets. The company offers personal insurance, business insurance, employee benefits, financial solutions, retirement planning, and estate planning, providing individuals and business owners with risk management and financial security.	
Elios Financial Group	Provider of wealth management services intended to help individuals and families achieve financial goals. The company offers comprehensive financial planning, retirement income planning, investment management, portfolio management, estate planning, and cross-generational planning, providing individuals with professional guidance to help secure their financial future.	
EZTPA	Provider of retirement plan administrative services intended to assist businesses with compliance and plan management. The company offers actuarial valuations, non-discrimination testing, annual return preparation, plan design optimization, and fiduciary risk management, enabling employers to maintain regulatory compliance, maximize tax benefits, and reduce administrative burdens associated with retirement plan sponsorship.	
Financial Investment Team	Provider of financial services, including direct asset management, financial planning, tax preparation, and healthcare assistance. The company's platform integrates direct asset management, in-house tax preparation, and retirement planning, enabling high-net-worth individuals and business owners to manage their financial futures through a coordinated strategy that addresses investment, tax, and estate needs.	
Formation Financial	Provider of financial and people operations services intended to support venture-backed startups. The company offers financial reporting, accounting services, people operations, cfo services, fp&a services and financial modeling, enabling early-stage companies to streamline back-office functions and focus on product development.	
Heartwood Wealth Advisors	Provider of wealth management and financial advisory services intended to serve high-net-worth individuals and families in Richmond, Virginia. The company offers comprehensive financial planning, tax planning, investment advisory, and fiduciary trust services, enabling clients to coordinate tax strategies, estate planning, and asset management into a single personalized framework.	

Company Name	Description	Deal Synopsis
High Falls Advisors	Provider of integrated financial advisory and wealth management services intended to help clients meet long-term financial goals. The company offers retirement planning, tax preparation, portfolio management, estate management, and employee benefits counseling, enabling individuals, families, and small business owners to manage wealth through a fiduciary-led strategy supported by a collaborative team of certified planners and accountants.	
Holistic Financial Partners	Provider of financial planning services intended to provide guidance during major life transitions. The company offers asset valuation, division strategy, retirement account management, tax planning, and business sale optimization, providing retail and high-net-worth investors with financial clarity during divorce and executive transitions.	
InsureMyTrip	Operator of a travel insurance comparison platform that helps travelers find the right plan. The company offers insurance plans ranging from comprehensive travel health insurance, emergency evacuation, Schengen visa, and accidental death coverage that focus on evacuation or lost baggage.	
Joseph Chiarello & Co.	Provider of an insurance program intended to protect firearms businesses from financial loss. The company provides admitted policies regulated by state insurance departments and occurrence form policies tailored for diverse firearms businesses, providing retailers and manufacturers protection against liability claims and property damage.	
Kalon Capital	Provider of mid-ticket equipment financing services intended for the industrial and manufacturing sectors. The company offers flexible capital solutions, asset acquisition funding, and modernization support, thereby enabling businesses to optimize their equipment lifecycles through responsive decision-making and relationship-driven underwriting.	
Korotkin Insurance Group	Provider of insurance brokerage and risk management services intended for the commercial and personal sectors. The company offers business owners' policies, commercial general liability, and specialized transportation insurance, enabling clients to protect their assets and mitigate financial risks through tailored coverage options.	

Company Name	Description	Deal Synopsis
Long Island Wealth Management	Provider of investment advisory services intended to assist individuals and small businesses with wealth management. The company offers financial planning, portfolio management, retirement planning, risk management, estate planning, and tax planning, providing clients with personalized strategies to achieve long-term financial objectives.	
LSA Portfolio Analytics	Provider of outsourced chief investment officer services intended to support independent financial advisors. The company offers investment research, portfolio analytics, manager due diligence, risk-adjusted modeling, downside protection resources, and customized presentation materials, enabling financial professionals to optimize client portfolios while reducing time spent on back-office management.	
Machen McChesney	Provider of accounting and business advisory services intended for businesses and individuals in Alabama and surrounding states. The company offers business tax services, individual tax planning, audit and assurance, business advisory services, payroll services, and wealth management services, providing manufacturing companies and healthcare practices with integrated financial and operational help.	
MF1 Capital	Provider of real estate services intended to provide capital for multifamily real estate transactions. The company offers floating-rate mortgage origination, transitional senior mortgage underwriting, federal agency refinance qualification, mezzanine capital provision, preferred equity investment, and securitization sponsorship, enabling multifamily real estate developers to secure transitional financing and access capital for real estate transactions.	
Monroe & Monroe Insurance	Provider of insurance brokerage services intended to serve commercial and individual clients. The company offers cyber liability, pollution liability, commercial property insurance, employee benefits, and risk assessment services, enabling businesses and individuals to protect their assets and manage financial exposure through tailored coverage and proactive risk management.	
Morris Financial Concepts	Provider of financial planning and investment management services intended for families and business owners. The company offers retirement planning, investment counsel, business exit planning, succession planning, and financial education services, enabling clients to integrate their core values into tailored financial and investment strategies.	

Company Name	Description	Deal Synopsis
Navitas Credit	Provider of business financing services intended to assist small and medium-sized businesses. The company's business financing services offer equipment leasing and acquisition financing through developing referral programs with equipment vendors, manufacturers, and third-party originators, enabling clients to acquire the equipment and financing they need to grow and stay competitive.	The company, a subsidiary of United Community Bank, was acquired by Wafra through a \$1.9 billion LBO on September 1, 2026.
Pultrio Financial Management	Provider of financial planning and investment management services intended for individuals and families. The company utilizes behavioral finance principles to address the emotional aspects of wealth management and investor behavior, enabling families to manage investment emotions during market fluctuations to maintain their long-term strategy.	
River Cities Financial Services	Provider of wealth management services intended to assist individuals and business owners with financial goals. The company offers wealth management, financial planning, retirement planning, investment planning, estate planning and insurance advisory, enabling individuals, families, and business owners to manage personal and business wealth through integrated advisory services.	
Sanchez Wealth Management Group	Provider of wealth management and financial planning services intended for individuals and organizations. The company offers personalized financial planning, investment management, risk mitigation, retirement planning, wealth tracking, and document storage, enabling clients to manage their assets and mitigate investment risks through a fiduciary-led digital platform.	
Spree Finance	Developer of loyalty infrastructure software designed to enable programmable rewards and instant merchant redemption. The company offers a stablecoin-backed rewards layer, on-chain payment rails, programmable reward conditions, a budget recycling mechanism, and white-label marketplace deployments, enabling web3 protocols and consumer brands to provide users with instant access to a global merchant network for reward redemption.	
Tenet Equity	Provider of real estate investment services intended to serve middle-market companies. The company offers sale-leaseback transactions, corporate recapitalizations, business acquisition funding, construction financing, lease optimization, and tax strategy services, thereby enabling clients to unlock property value while retaining operational control and enhancing financial agility.	The company was acquired by CBRE Investment Management through a \$1.6 billion LBO on September 4, 2026.

Company Name	Description	Deal Synopsis
The LaRocco Companies	Provider of employee benefits brokerage services intended to help employers design, administer and manage benefits programs. The company offers health insurance, voluntary benefits, COBRA compliance, HIPAA compliance, ERISA compliance and benefits administration, enabling employers to support their workforce while navigating regulatory complexity and costs.	
WFA Volare	Provider of wealth management services intended to offer clear financial guidance. The company's technology includes coordinated strategy planning, a real-time visibility platform, specialized athlete advisory, women-focused planning, proactive communication, and multi-market leadership, enabling retirees and high-earning professionals to consolidate fragmented financial pieces into a single coordinated strategy and access specialized expertise for unique career paths.	
Whitney and Associates	Provider of insurance wholesaling and marketing services intended for independent financial advisors. The company offers specialized annuity, life insurance, and wealth management solutions, enabling financial advisors to access annuity solutions, life insurance products, wealth management services, investment management, digital marketing tools, lead generation systems, operational support services, advisor marketing strategies, financial planning resources, and securities infrastructure.	
Winstone Wealth Partners	Provider of wealth management services intended to help high-net-worth families achieve financial goals. The company offers financial plans, investment plans, retirement planning, risk management, tax strategies, and estate planning, enabling high-net-worth families to visualize interconnected life and financial elements through custom mind maps and receive tailored investment strategies aligned with their risk tolerance.	