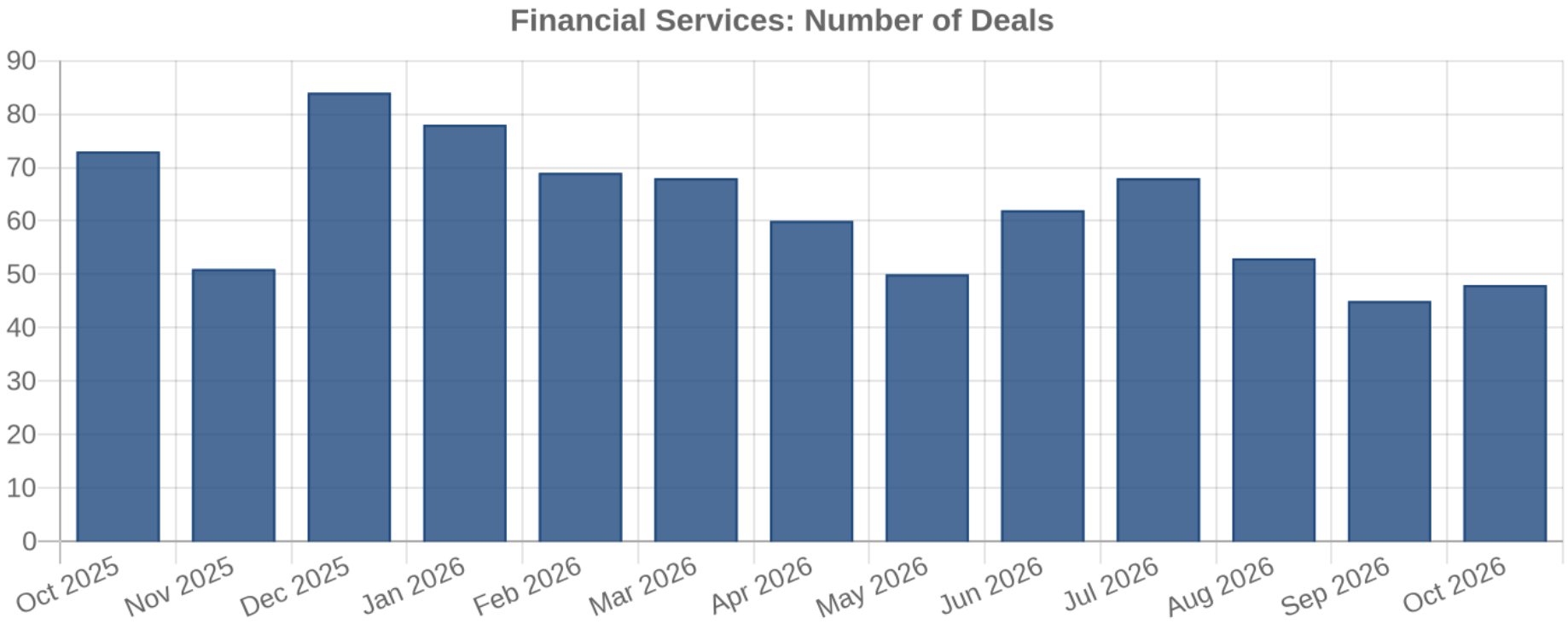
Financial Services Deals for October 2026

There were 48 completed U.S. private equity deals in the Financial Services sector during the month of October. The number of deal transactions increased 6.7% when compared to September's deal count. There was a 34.2% decline in the number of Financial Services deals compared to October 2025.



Financial Services Deals for October 2026

Company Name	Description	Deal Synopsis
4thGEN Wealth Management	Provider of financial advisory services intended to build and preserve wealth for multi-generational clients. The company offers investment management, retirement planning, business succession planning, wealth transfer services, family partnerships, and charitable planning, providing business owners and agricultural families with fee-based wealth management to facilitate long-term financial stability.	
ACT Wealth Management	Provider of investment advisory services intended to help clients achieve their financial goals. The company specializes in serving airline professionals through retirement benefit evaluation, distribution planning, and Roth IRA conversions, providing fee-only wealth management and individualized investment portfolios to affluent individuals and families, enabling them to address interconnected financial priorities and secure their retirement.	
Alan Gray	Provider of insurance claims management and audit advisory services intended to serve insurers and reinsurers, self-insured corporations and public entities. The company offers a range of services, including claims administration, legal bill auditing, reinsurance collections, risk management and actuarial services, enabling clients to optimize claims, ensure compliance and reduce risks significantly.	
Armentum Partners	Provider of debt capital advisory services intended to serve emerging growth companies in the healthcare and technology sectors. The company offers capital structure optimization, debt syndication, mezzanine financing, venture debt, and acquisition financing, enabling public and private companies to secure cost-effective debt solutions and optimize financial strategies for growth.	
Beere&Purves	Provider of insurance distribution services intended to assist brokers with employee benefits management. The company offers quoting and underwriting support, enrollment services, broker education, technology tools, sales assistance, and health reimbursement arrangements, enabling insurance brokers to streamline benefits administration and grow their businesses.	

Company Name	Description	Deal Synopsis
Blue Spark Capital Advisors	Provider of wealth management and financial planning services intended for individual women and women-owned businesses. The company operates as a fee-only boutique firm, specializes in guiding women through life transitions, utilizes an endowment method for cash flow matching, employs a personal financial dashboard, and integrates evidence-based investing, enabling clients to navigate complex financial transitions such as divorce or retirement with specialized advisory support.	
C & W Insurance Agency	Provider of insurance brokerage services intended for asset protection. The company operates as an independent agency offering access to multiple national carriers for general liability, commercial auto, professional liability, and personal home and auto insurance policies, while providing personalized policy comparisons and risk assessments, enabling individual consumers to compare multiple insurance carrier quotes to find optimal rates.	
Canopy Specialty Insurance	Operator of an insurance management general agency intended to serve the commercial property and casualty marketplace. The company's platform provides specialized underwriting, program administration, risk management, and portfolio analytics, enabling businesses in the healthcare, construction, and environmental sectors to access niche liability coverage and surety bond insurance.	
CCT Factoring	Provider of invoice factoring services intended to provide immediate cash flow for small and medium-sized businesses. The company offers traditional factoring facilities and ledger lines of credit with same-day funding, no minimum requirements and no origination fees, providing small businesses with immediate working capital to support operational growth and financial stability.	
Coastal Insurors	Provider of specialized professional liability insurance services intended to protect professional services firms. The company offers tailored professional and cyber liability insurance through personalized guidance and niche industry expertise, providing law and accounting firms with business protection and risk mitigation services.	

Company Name	Description	Deal Synopsis
Compass Wealth Management	Provider of wealth management services intended to help clients navigate financial decisions through personalized planning. The company offers investment management, financial planning, tax planning, estate planning, charitable giving strategies, and portfolio management, enabling high-net-worth individuals to preserve and grow their wealth through a boutique service model.	
Cornerstone Capital (Palo Alto)	Provider of wealth management services intended to offer personalized investment advisory and financial planning. The company offers wealth management, investment advisory, financial planning, estate planning coordination, tax planning coordination, and portfolio management, enabling high-net-worth individuals and families to achieve long-term financial goals through disciplined risk management and tax-efficient portfolio construction.	
Cullen Investment Group	Provider of investment advisory services intended to grow and conserve client wealth. The company specializes in a disciplined value approach to constructing customized portfolios diversified across multiple companies while maintaining a long-term investment horizon, enabling individuals and charitable organizations to benefit from discretionary portfolio management and financial planning to support sustainable wealth growth.	
Diesel insurance solutions	Developer of a truck insurance platform designed to support insurance underwriting and risk assessment using telematics and dashcam data. The company offers data-driven underwriting, detailed driver risk identification, online quoting tools and insurance carrier partnerships, enabling truckers and fleet operators to obtain coverage and identify high-risk driving behavior.	
Echo Wealth Management	Provider of wealth management services intended to simplify financial planning for individuals and families. The company offers financial planning, investment management, retirement planning, tax planning, estate planning, and wealth management, enabling corporate executives and business owners to achieve financial independence through tech-integrated roadmaps and proactive stock option monitoring.	

Company Name	Description	Deal Synopsis
Elite Retirement Consultants	Provider of wealth management services intended to simplify complex financial situations for clients. The company operates as an employee-owned fiduciary that utilizes an institutional approach, an in-house investment team, a digital client experience, collaborative professional integration, and customized portfolio development, providing affluent individuals and business owners with peace of mind while pursuing financial goals.	
Encina Capital ($480 million seed portfolio and 14-person Investment Team)	Provider of specialized private credit solutions intended for middle-market companies and direct lenders. The company will offer new investment opportunities across senior secured, unitranche, and first-out financing for consumer and commercial lenders in the U.S. and Canada.	
flexPATH Strategies (Plan-Level 3(38) Business)	Provider of fiduciary services intended to support employee retirement planning reliably.	
Flightline (Business/Productivity Software)	Developer of an ai-native commercial due diligence platform intended to assist private equity investors with market analysis. The company's platform utilizes agentic technology to coordinate research and analysis across multiple data sources, enabling investors to evaluate a larger volume of deals and generate investor-ready market studies through structured workflows and consultant review.	
Foran Financial Group	Provider of wealth management and financial planning services intended for families and business owners. The company offers investment management, retirement planning, estate planning, risk management, and financial education courses, enabling multigenerational families and executives in New Jersey to achieve their long-term financial goals through personalized advisory and structured planning solutions.	
Fraxion Spend Management	Developer of cloud-based spend management software designed for easy procurement management. The company's platform offers purchase requisitioning, expense and approval management with advanced analytics as well as automates the procurement cycle and streamlines procure-to-pay processes with complete visibility and policy compliance, enabling middle-market companies to make better purchasing decisions in real-time with live budget visibility and risk analytics.	

Company Name	Description	Deal Synopsis
Gibson & Associates Professional Claims Management	Provider of insurance claims management services intended to ensure efficient and ethical resolution to all claims. The company specializes in third-party administration of property and casualty claims for the London market on a nationwide basis as well as provides financial management and reporting services, enabling clients to solve their insurance and casualty problems.	
Hoge-Barrett Group	Provider of wealth management services intended for individuals and families. The group provides comprehensive wealth management services focused on significant and multigenerational wealth, enabling clients to navigate complex financial decisions through personalized guidance, investment research, and family governance strategies.	
ICG next	Provider of wealth management services intended to help multigenerational families achieve personal and professional financial goals. The company offers retirement planning, estate planning, tax harvesting, insurance selection, college savings plans, and employee benefit programs, enabling multigenerational families to navigate complex financial transitions and preserve assets across generations.	
Keen-Summit Capital Partners (Keen Business in United States)	Provider of real estate brokerage and investment banking services intended to maximize asset values and minimize liabilities for businesses in special situations. The business division specializes in restructurings, bankruptcies, receiverships, and Article 9 sales, enabling property owners and creditors to execute real estate dispositions, lease restructurings, capital market solutions, and corporate finance strategies within compressed timeframes.	
Longview Capital Solutions	Provider of commercial equipment financing services intended to help businesses acquire essential assets. The company offers secured loans, equipment leases, vendor finance programs, transportation financing, fleet capital solutions, and commercial credit lines, providing transportation and logistics markets with specialized capital for vehicle and equipment acquisition.	The company was acquired by Stonepeak Partners through an estimated CAD11 billion LBO on October 29, 2026. The transaction values the company at an estimated CAD 14666.667 billion.
Maia Wealth	Operator of an independent wealth management firm intended to provide financial planning and investment advisory services. The company's service model utilizes a fiduciary standard and fee-only structure to provide discretionary portfolio management, tax planning, and retirement strategies, enabling high-net-worth individuals and business owners to build customized financial plans without corporate ties or proprietary product conflicts.	

Company Name	Description	Deal Synopsis
MCS Family Wealth Advisors	Provider of wealth management services intended to ensure multigenerational family prosperity. The company offers portfolio stress testing, risk management, wealth counseling, retirement planning, family business counseling, and expert witness testimony, providing high-net-worth individuals with research-oriented investment strategies to secure long-term financial fulfillment.	
Melcher & Prescott Insurance	Provider of independent insurance agency and brokerage services intended for individuals and businesses. The company offers business auto insurance, general liability insurance, workers' compensation, homeowners insurance, life and health insurance, and cyberattack coverage, enabling clients to manage risks and protect assets through customized personal and commercial insurance programs.	
Mountain Capital Investment Advisors	Provider of financial planning and investment advisory services for airline industry professionals. The company offers personalized financial planning, investment management, retirement planning, and analysis of airline pensions and benefits. It serves airline pilots and aviation employees at every career stage, from new hires to retirees, helping them build long-term financial plans, manage their investment portfolios, and prepare for retirement.	
Mustard Seed Financial and Insurance	Provider of independent insurance brokerage and risk management services intended to serve the transportation and commercial sectors. The company offers personalized home, auto, and business insurance through a network of multiple trusted carriers and specialized expertise in the trucking industry, enabling individuals and businesses to access tailored coverage options, competitive pricing, and expert guidance to protect their assets.	
Oak Point Partners	Operator of an investment management company in Northbrook, Illinois. The company specializes in the purchase of residual or remnant assets including those at the end of commercial bankruptcy cases, thereby providing a one-stop-shop for all the bankruptcy and related needs of clients.	
Pacific Sage Partners	Provider of wealth management and financial planning services intended to serve individuals and small businesses. The company's services include investment management, retirement planning, and estate preservation, enabling clients to achieve their financial goals through fee-only fiduciary advice and comprehensive wealth management strategies.	

Company Name	Description	Deal Synopsis
Rensa Filtration	Manufacturer of air filtration products for commercial and industrial properties. The company offers heating and ventilation pleated filters, bag and rigid box filters, HEPA filters, gas-phase carbon filters, and industrial dust collection filters, along with filter replacement and indoor air quality services.	
Republic Finance	Provider of consumer loans and flexible lending options intended for customers in the South-Eastern United States. The company identifies lending options that fit the unique needs of each of its customers and facilitates the loan application process, enabling borrowers to meet their personal finance goals.	
RS Crum	Provider of fee-only wealth management and financial planning services intended for high-net-worth individuals and families. The company offers discretionary portfolio management, retirement planning, and objective financial advice, enabling clients to preserve wealth and achieve long-term financial security through a fiduciary-based advisory model.	
Southern States Underwriters	Provider of commercial property and casualty insurance services intended for the agricultural industry. The company offers specialized insurance underwriting, risk management solutions, and distribution partnership services, enabling agricultural suppliers, distributors, and service providers to access tailored property and casualty coverage through a dedicated agribusiness reciprocal exchange.	
Spire Wealth Management	Provider of financial services and support for independent financial advisors intended to help them operate and develop their practices. The company offers administrative support, compliance and supervision, information technology, reporting, client services, and access to tools and services, enabling independent financial advisors to focus on their clients and manage their practices.	
The Curchin Group	Provider of accounting and financial advisory services for small and mid-sized businesses. The company's services include tax planning, auditing, and business consulting, helping family enterprises and high-net-worth individuals navigate complex financial environments with personalized advice and specialized succession planning.	

Company Name	Description	Deal Synopsis
Thompson Insurance Group	Provider of personal and commercial insurance services intended for individuals and businesses in Rhode Island and Massachusetts. The company offers auto, home, business, life, renters, landlord, and vehicle insurance, providing clients with customized coverage options and risk management solutions through multiple insurance carriers.	
Thomson Financial Service	Provider of insurance brokerage and benefits consulting services intended for employees and businesses. The company offers health insurance, dental insurance, life insurance, property and casualty insurance, employee benefit plans, and financial services, enabling clients to reach their benefit goals through informed decision-making and comprehensive reviews of insurance providers and facilities.	
Thrive Insurance	Operator of an insurance agency serving in Oklahoma City, Oklahoma. The company offers home insurance, auto insurance, motorcycle insurance, life insurance, business insurance and other related services to its clients.	
USA Hometown Experts	Operator of a holding company intended to partner and invest in residential service businesses. The company acquires and manages companies that provide essential maintenance, repair, replacement, and new installation services for the residential and commercial heating, ventilation, and air conditioning (HVAC), plumbing, electrical, and overhead door markets.	
USASIA Insurance Services	Provider of commercial insurance wholesaling services intended to serve independent brokers. The company offers business owners policies, workers compensation, excess surplus lines, and specialty coverage, enabling brokers to access domestic and international markets for large property placements and hard-to-place liability risks.	
VALUE (Irving)	Provider of financial valuation services intended to assist with litigation and reporting matters. The company offers business valuation, intellectual property valuation, economic loss calculation, purchase price allocation, litigation support, and transaction consulting, providing expert analysis for law firms and corporations to facilitate informed decision-making during financial disputes and regulatory filings.	

Company Name	Description	Deal Synopsis
WorthPointe Financial Planners	Provider of financial planning services intended to guide wealth management and investment strategies. The company offers financial planning, investment consulting, tax planning, asset protection, estate planning, and charitable giving support, enabling individuals and families to align their wealth with their values through a fee-only fiduciary model and a proprietary digital dashboard.	
Wright Insurance Planning	Provider of insurance planning services intended to protect family and business futures. The company utilizes an independent model to access insurance carriers and manages the underwriting process, providing individuals and businesses with life insurance, long-term care planning, disability insurance, premium financing, buy-sell funding and key-person coverage.	
Zen Wealth Management Group	Provider of financial planning services intended to manage risk and ensure wealth growth. The company offers tax preparation, small business consulting, financial planning, business entity formation, accounting services and wealth preservation, providing individuals and small businesses with one-on-one guidance to improve performance and ensure the longevity of personal wealth.	