

Financial Services Deals for September 2019

Company Name	Description	Deal Synopsis
Bedrick-Kaitz Agency	Provider of insurance services for high net worth individuals and families. The company provides insurance on automobiles, artwork, and coins, to jewelry and residences, offering clients smart and creative solutions.	The company was acquired by Risk Strategies Company, via its financial sponsors HarbourVest Partners and Kelso Private Equity, through an LBO on September 30, 2019 for an undisclosed sum.
CM Investment Partners	Provider of investment advisory services to CM Finance. The company's range of services encompasses sourcing investment opportunities, conducting industry research, performing diligence on potential investments, structuring investments and monitoring portfolio companies, enabling its client to find suitable targets for investments.	The company was acquired by Investcorp Bank (BAH: INVCORP) through an LBO on September 4, 2019 for an undisclosed sum. Under the deal, the company will form part of Investcorp's Credit Management (ICM) business, further driving Investcorp's growth strategy with opportunities for growth in Private Credit, including the BDC space.
EPIC Retirement Services Consulting	Provider of investment consulting and advisory services. The company offers customized retirement plan solutions and services to plan sponsors as well as employee education to plan participants.	The company was acquired by Hub International (United States), via its financial sponsors Hellman & Friedman, AlInvest Partners and HarbourVest Partners through an LBO on September 18, 2019 for an undisclosed sum. The deal will provide the company with support and resources that will enhance the client and participant experience.
Executive Brokerage Services	Provider of insurance brokerage and advisory services serving financial services professionals, broker-dealers and banks. The company offers a range of insurance related services and training related to fixed annuities, fixed indexed annuities, and life insurance.	The company was acquired by Simplicity Group Holdings, via its financial sponsor Aquiline Capital Partners, through an LBO on September 23, 2019 for an undisclosed sum.
Frontier Adjusters	Provider of claims adjustment services. The company offers auto appraisals, property estimates, complex casualty claims and catastrophic events of all kinds through its proprietary claims management system with a specific focus on serving the property and casualty insurance industry.	The company was acquired by Davies Group, via its financial sponsor HGGC, through an LBO on September 13, 2019 for an undisclosed sum.
Ottawa Kent	Operator of an outsource risk management company and independent insurance agency. The company offers a comprehensive suite of insurance services including personal & business insurances and employee benefit plans.	The company was acquired by High Street Partners, via its financial sponsor Huron Capital Partners, through an LBO on September 11, 2019 for an undisclosed sum.

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Perennial Pension and Wealth	Provider of pensions, retirement planning and wealth management services. The company offers customized financial strategy after understanding the unique needs of clients, enabling customers to achieve their financial goals.	The company was acquired by Hub International (United States), via its financial sponsors Hellman & Friedman, Alpinvest Partners, HarbourVest Partners and Altas Partners through an LBO on September 20, 2019 for an undisclosed sum.
Risk Management Advisors	Provider of captive insurance programs and self-insured plans. The company specializes in the design, implementation and management of captive insurance programs, providing companies and organizations with comprehensive, integrated approach to manage their risk and protect the business.	The company was acquired by Risk Strategies Company, via its financial sponsors HarbourVest Partners and Kelso Private Equity, through an LBO on September 4, 2019 for an undisclosed sum.
Sage Future Financial	Operator of a registered wealth investment management advisory firm. The company's services include investment management, retirement planning, tax planning, estate planning, college funding strategies and legacy planning, serving approximately 45 high net worth households with assets under management of approximately \$100 million.	The company was acquired by Mercer Advisors, via its financial sponsors Lovell Minnick Partners, Northleaf Capital Partners and Genstar Capital, through an LBO on September 5, 2019 for an undisclosed sum.
SG Credit Partners	Provider of structured debt solutions. The company provides debt financing to lower middle-market businesses across the country in need of financing for working capital, growth capital, acquisition capital, or special situations.	The company was acquired by MidMark Capital, The Cynosure Group, The 4612 Group and its management through an LBO for \$113 million on September 18, 2019.
Snow Creek Wealth Management	Provider of wealth management services. The company offers asset management and financial planning services by integrating financial planning with accounting, investment management and financial goals, enabling individuals, families, estates and trusts build, preserve and manage wealth.	The company has received bids to be acquired by Mariner Wealth Advisors through an LBO on September 25, 2019 for an undisclosed sum.

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The Pinnacle Benefits Group	Provider of insurance marketing and brokerage services. The company offers a wide range of products, including Medicare advantage, Medicare supplement, final expense, life insurance, short term medical and long-term care and other services, enabling agents to get competitive products.	The company was acquired by Integrity Marketing Group, via its financial sponsors HGGC and Harvest Partners, through an LBO on September 11, 2019 for an undisclosed sum.
Vannin Capital	Provider of litigation funding services. The company engages in offering third-party legal finance services underpinned by an efficient and detailed funding model with the help of a team of experienced dispute professionals, enabling corporations and law firms involved in high value, commercial disputes to receive a strategic and entrepreneurial approach powered by technological expertise to deliver tailored funding services.	The company entered into a definitive agreement to be acquired by Fortress Investment Group through an LBO on September 6, 2019 for an undisclosed sum.
Washington Financial Group	Provider of retirement planning and wealth management services. The company offers fiduciary services, comprehensive planning, financial planning, wealth advisory, investment management services.	The company was acquired by Hub International (United States), via its financial sponsors AlInvest Partners, Altas Partners, HarbourVest Partners and Hellman & Friedman, through an LBO on September 16, 2019 for an undisclosed sum.
WNC Health Insurance	Provider of health insurance services and quotes to individuals and employers. The company provides affordable health insurance enabling business owners to op for flexible solutions to meet their business needs with innovative employee benefit policies.	The company was acquired by Hilb Group, via its financial sponsor ABRY Partners, through an LBO on September 1, 2019 for an undisclosed sum.
Woodford Insurance	Provider of insurance services. The company offers different types of insurance that include auto, home, personal, business, life and recreational insurance.	The company was acquired by AssuredPartners, via its financial sponsor GTCR, through an LBO on September 16, 2019 for an undisclosed sum.

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York Risk Services Group	Provider of specialized claims and risk management services in the United States. The company's services are offered through its team of experienced professionals as well as through its mobile application and online portal that delivers accident-prevention and safety-related information, enabling the public entities, public entity pools, program administrators, insurance carriers and self-insured clients to manage insurance claims, coordinate return-to-work policies, prevent and control potential loss as well as to ensure positive outcomes for injured workers.	The company was acquired by Sedgwick Claims Management Services, via its financial sponsors The Carlyle Group, Ardian, Stone Point Capital and Caisse de dépôt et placement du Québec through an LBO on September 4, 2019 for an undisclosed sum.