

## Financial Services Deals for September 2020

Company Name	Description	Deal Synopsis
All Risks	Operator of an insurance brokerage firm based in Delray Beach, Florida. The company offers comprehensive insurance solutions with a full-service platform of brokerage, contract binding, exclusive national programs and specialty personal lines products.	The company was acquired by Ryan Specialty Group, via its financial sponsor Onex, through an LBO on September 1, 2020 for an undisclosed sum.
Brinker Capital	Provider of investment management services intended to empower financial advisors to help their clients achieve better outcomes. The company offers wealth accumulation to risk-based and income-oriented strategies and also offers a range of dynamic, multi-asset class solutions, helping financial advisors meet the changing needs of investors.	The company was acquired by Orion Advisor Solutions, Ms.Noreen Beaman and Mr. Chuck Widger, via its financial sponsors TA Associates Management and Genstar Capital, through an LBO on September 25, 2020 for an undisclosed sum.
Charles R. Butler	Provider of insurance brokerage services based in Rockville, Maryland. The company offers full service personal and commercial insurance solutions, including home, auto, and commercial to its clients.	The business unit of Nationwide Mutual Insurance Company, was acquired by Hub International, via its financial sponsors Altas Partners, AlInvest Partners, Hellman & Friedman, and HarbourVest Partners, through an LBO on September 8, 2020 for an undisclosed sum.
CJM Wealth Management	Provider of wealth management services based in Deer Park, New York. The company offers investment management, tax strategies, asset protection, risk mitigation, personal investment policies, retirement income planning and portfolio monitoring services.	The company was acquired by Wealth Enhancement Group, via its financial sponsor TA Associates Management, through an LBO on September 1, 2020 for an undisclosed sum.
Cohen Financial (Loan Servicing & Asset Management Platform)	Provider of loan servicing and asset management platform.	The Loan servicing and asset management platform of Cohen Financial, was acquired by SitusAMC, via its financial sponsors Stone Point Capital and Public Sector Pension Investment Board, through an LBO on September 18, 2020 for an undisclosed sum.
Ganim Group	Provider of employee benefits services based in Bridgeport, Connecticut. The company is a full-service life and health agency primarily offering employee benefits insurance, including group medical and dental to customers throughout the state.	The company, a subsidiary of Ganim Financial, was acquired by The Hilb Group, via its financial sponsor The Carlyle Group, through an LBO on September 1, 2020 for an undisclosed sum.

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Gateway Agency National	Provider of insurance brokerage services based in Highlands, New Jersey. The company provides home, automobile, boating and life insurance, enabling clients to find insurance based on individual needs.	The company was acquired by World Insurance Associates, via its financial sponsor Charlesbank Capital Partners, through an LBO on September 1, 2020 for an undisclosed sum.
Hollis Companies	Provider of insurance consulting services based in Metairie, Louisiana. The company provides employee benefits consulting, benefits solutions, workplace-wellness plans and guidance on compliance issues to clients.	The company was acquired by Hub International, via its financial sponsors AlInvest Partners, Atlas Partners, HarbourVest Partners and Hellman & Friedman through an LBO on September 4, 2020 for an undisclosed sum.
ISU Centinel Agency	Operator of an insurance brokerage firm based in San Francisco, California. The company specializes in insurance for the construction and hospitality industries, as well as private client personal insurance.	The company was acquired by Heffernan Insurance Brokers, via its financial sponsor SkyKnight Capital, through an LBO on September 1, 2020 for an undisclosed sum.
Millennium Alliance Group	Operator of a full-service insurance brokerage firm based in Melville, New York. The company specializes in property and casualty insurance coverage for customers in industrial services, construction, food manufacturing and other small businesses.	The company was acquired by High Street Insurance Partners, via its financial sponsor Huron Capital Partners, through an LBO on September 17, 2020 for an undisclosed sum.
Nationwide Appraisals	Provider of premier auto damage appraisal and inspection services for the insurance industry. The company offers auto appraisals, flat rate auto appraisals, property appraisals, specialty appraisals and catastrophe management as well as provides total loss and actual cash, value packages, diminished value enabling clients to get improved cycle times and accurate appraisals the first time by combining technology with its payment methodology.	The company was acquired by Sedgwick Claims Management Services, via its financial sponsors Ardian, The Carlyle Group, Caisse de dépôt et placement du Québec and Stone Point Capital through an LBO on September 8, 2020 for an undisclosed sum.
Plante Moran (Retirement Business)	Provider of retirement planning services based in Southfield, Michigan.	The Retirement plan advisory practice of Plante Moran, was acquired by CAPTRUST Financial Advisors, via its financial sponsor GTCR, through an LBO on September 10, 2020 for an undisclosed sum. With the addition of the PMFA retirement plan advisory team, CAPTRUST has surpassed \$400 billion in assets under advisement (AUA).

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Sapoznik Insurance and Associates	Provider of employee benefits services focusing on health and wellness. The company offers services including group health insurance, dental insurance, employee assistance programs, retiree benefits, and individual life insurance services providing customized benefits solutions according to the needs of its clients.	The company was acquired by World Insurance Associates, via its financial sponsor Charlesbank Capital Partners, through an LBO on September 22, 2020 for an undisclosed sum.
Securitas Insurance Partners	Operator of a specialty broker designed to meet the retail insurance needs of venture capital and private equity-backed portfolio companies. The company specializes in providing corporate risk management programs, employee benefits, health and welfare, merger and acquisition due diligence as well as individual risk management service, enabling clients to understand, quantify and manage the risks associated with their business.	The company was acquired by Risk Strategies Company, via its financial sponsors Kelso Private Equity and HarbourVest Partners, through an LBO on September 9, 2020 for an undisclosed sum. The acquisition increases Risk Strategies Company's operational depth while adding expertise in assisting non-private equity entities with their growth through acquisition risk and insurance needs.
Senior Healthcare Direct	Provider of products and services in the Medicare insurance industry based in Palm Harbor, Florida. The company specializes in providing Medicare supplement and Medicare advantage insurance products to beneficiaries nationwide.	The company was acquired by AmeriLife Group, via its financial sponsors Waterfield Group, Thomas H. Lee Partners and Cannae Holdings, through an LBO on September 29, 2020 for an undisclosed sum.
The Achievement Group	Provider of insurance brokerage services based in Des Moines, Iowa. The company offers annuity and life organization assisting financial institutions, insurance agencies and insurance professionals to maximize performance and return, and meet the needs of the pre-retirees and retirees they serve.	The company was acquired by AmeriLife Group, via its financial sponsors Thomas H. Lee Partners, Waterfield Group and Cannae Holdings, through an LBO on September 23, 2020 for an undisclosed sum.
Todd and Associates (Louisiana)	Provider of insurance brokerage services based in Lake Charles, Louisiana. The company offers innovative solutions tailored to their clients' specific risk needs, including medical professional liability insurance.	The company was acquired by Hub International, via its financial sponsors AlInvest Partners, Altas Partners, HarbourVest Partners, and Hellman & Friedman, through an LBO on September 9, 2020 for an undisclosed sum.

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Tracy Driscoll	Provider of multi-line insurance services. The company's services include with property and casualty insurance as well as health and wellness plans, enabling local employers and individual customers in the landscaping, construction, waste and recycling, industrial equipment and municipal services industries to access insurance plans across a wide spectrum which are customized to their needs	The company was acquired by High Street Insurance Partners, via its financial sponsor Huron Capital Partners, through an LBO on September 17, 2020 for an undisclosed sum. The deal enables High Street Insurance Partners to grow its footprint in Connecticut.
TrueWealth	Provider of wealth management and financial advisory services. The company provides a range of financial services including asset management, financial planning, portfolio management, wealth management and investment management, enabling individuals and families to get the benefit of a thoughtfully conceived and carefully managed financial plan based on their specific needs.	The company was acquired by Creative Planning, via its financial sponsor General Atlantic, through an LBO on September 1, 2020 for an undisclosed sum.